



DEP # REF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

207 MAY 11 2007

Keisei YAMAMURO et al.

: Mail Stop: **ACCOUNTING DIVISION  
REFUND BRANCH**

Serial No. 09/821,049

Filed March 30, 2001 : Attorney Docket No. 2001\_0375A

RECEIVER, VIEWING INFORMATION RECORDING METHOD, VIEWING INFORMATION PROCESSOR, SERVICE CONTENTS DECISION METHOD, INFORMATION ACQUISITION APPARATUS, INFORMATION ACQUISITION METHOD, TRANSMITTER/RECEIVER SYSTEM, AND RECORDING MEDIA

**REQUEST FOR REFUND**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request a refund of \$180.00 charged on March 10, 2006 to Deposit Account No. 23-0975 for an Information Disclosure Statement (IDS). Applicants assert the charge is incorrect.

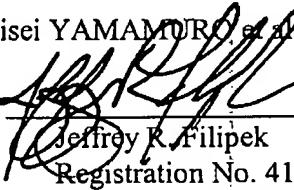
The IDS filed on March 1, 2006 (copy enclosed) was submitted after a non-final rejection and before the Final rejection of April 27, 2007 with a certification of Paragraph 2 and therefore, no fee was necessary. The fee incurred on March 10, 2006 was obviously the result of PTO oversight. We kindly request that it be credited to our account.

Kindly refund \$180.00 to the deposit account of undersigned, no. 23-0975. If there are any questions, please contact Donna Reynolds, Accounting Assistant, at (202) 721-8246.

Respectfully submitted,

Keisei YAMAMURO et al.

By

  
Jeffrey R. Filipek  
Registration No. 41,471  
Attorney for Applicant

JRF/  
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Washington, D.C. 20006-1021  
Facsimile (202) 721-8250  
May 10, 2007

2001\_0375A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Confirmation No. 5127  
Keisei YAMAMURO et al. : Attorney Docket No. 2001\_0375A  
Serial No. 09/821,049 : Group Art Unit 2617  
Filed March 30, 2001 : Examiner Jamieson W. Fish

RECEIVER, VIEWING INFORMATION  
RECORDING METHOD, VIEWING  
INFORMATION PROCESSOR, SERVICE  
CONTENTS DECISION METHOD,  
INFORMATION ACQUISITION APPARATUS,  
INFORMATION ACQUISITION METHOD,  
TRANSMITTER/RECEIVER SYSTEM,  
AND RECORDING MEDIA

COPY

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

[X] each U.S. Patent and U.S. Patent application publication;

[ ] each reference previously cited in the international application PCT/\_\_\_\_\_; and/or

[ ] each reference previously cited in prior parent application Serial No. \_\_\_\_\_.

1a. [ ] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b.  This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1)  the certification of paragraph 2 below is provided, or

(2)  the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c.  This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a.  that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b.  that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3.  Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a.  a full or partial English language translation submitted herewith,
  - b.  a foreign patent office search report (in the English language) submitted herewith,
  - c.  the concise explanation contained in the specification of the present application at page,
  - d.  the concise explanation set forth in the attached English language abstract,
  - e.  the concise explanation set forth below or on a separate sheet attached to the reference:
5.  A foreign patent office action citing one or more of the references is enclosed.
6.  Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Keisei YAMAMURO et al.

By no longer w/ our firm /replicated signature not available  
Jonathan R. Bowser  
Registration No. 54,574  
Attorney for Applicants

JRB/jmj  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
March 1, 2006



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re application of** : **Confirmation No. 5127**  
**Keisei YAMAMURO et al.** : **Attorney Docket No. 2001\_03**  
**Serial No. 09/821,049** : **Group Art Unit 2617**  
**Filed March 30, 2001** : **Examiner Jamieson W. Fish**

RECEIVER, VIEWING INFORMATION RECORDING METHOD, VIEWING INFORMATION PROCESSOR, SERVICE CONTENTS DECISION METHOD, INFORMATION ACQUISITION APPARATUS, INFORMATION ACQUISITION METHOD, TRANSMITTER/RECEIVER SYSTEM, AND RECORDING MEDIA

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

## **INFORMATION DISCLOSURE STATEMENT**

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

each U.S. Patent and U.S. Patent application publication;

each reference previously cited in the international application PCT/                ; and/or

each reference previously cited in prior parent application Serial No.

1a.  This Information Disclosure Statement is submitted:

Adjustment date: 05/23/2007 SFELEKE1  
03/10/2006 PZIMMERM 00000002 230975 09821049  
01 FC:1806 180.00 CR

United States Patent and Trademark Office  
03-11072006 PZIMMER-000000002-230975-0982  
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